

Docket No.: 50395-125676

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Kenichi KURISU :  
Serial No.: 09/993,223 : Group Art Unit: 1765  
Filed: November 26, 2001 : Examiner: not yet assigned  
For: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

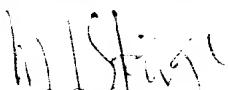
Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Total Claims list on the filing receipt is incorrect. Attached is a copy of the Transmittal Letter, which evidences that the total claims should read as:

12

It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Arthur J. Steiner  
Registration No. 26,106

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 AJS:jgh  
**Date: December 29, 2001**  
Facsimile: (202) 756-8087



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20591  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,223	11/26/2001	1765	1020	50395-125	9	6	2

McDERMOTT, WILL & EMERY,  
600 13th Street, N.W.  
Washington, DC 20005-3096



CONFIRMATION NO. 6863

FILING RECEIPT



OC000000007154361\*

Date Mailed: 12/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Kenichi Kurisu, Osaka, JAPAN;

**Assignment For Published Patent Application**

Sumitomo Electric Industries, Ltd.;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 397640/2000 12/27/2000

**Projected Publication Date:** To Be Determined - pending completion of Security Review

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Etching method for ZnSe polycrystalline substrate

**Preliminary Class**

438

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)**

*O P E R A T I O N*  
*PATENT & TRADEMARK OFFICE*  
Box PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Kenichi KURISU

FOR: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

Enclosed are:

- 11 pages of specification, claims, abstract.
- Declaration and Power of Attorney.
- Priority Claimed.
- Certified copy of Japanese Patent Application Number 2000-397640
- 9 sheets of formal drawing.
- An assignment of the invention to Sumitomo Electric Industries, Ltd. and the assignment recordation fee.
- An associate power of attorney.
- A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- Information Disclosure Statement, Form PTO-1449 and reference.
- Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	12	-20	0	\$18.00	\$0.00
Independent Claims	2	-3	0	\$84.00	\$0.00
Multiple Dependent Claim(s)					\$280.00
Basic Fee					\$740.00
Total of Above Calculations					\$1,020.00
Less ½ for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00



20277

PATENT TRADEMARK OFFICE

- Please charge my Deposit Account No. 500417 in the amount of \$1,060.00. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
  - Any additional filing fees required under 37 CFR 1.16.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
  - Any patent application processing fees under 37 CFR 1.17.
  - Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Arthur J. Steiner

Registration No. 26,106

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 AJS:kjw  
**Date: November 26, 2001**  
Facsimile: (202) 756-8087



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
www.uspto.gov  
www.uspto.gov/patent



Bb Data Sheet

CONFIRMATION NO. 6863

SERIAL NUMBER 09/993.223	FILING DATE 11/26/2001 RULE	CLASS 438	GROUP ART UNIT 1765	ATTORNEY DOCKET NO. 50395-125
-----------------------------	-----------------------------------	--------------	------------------------	-------------------------------------

**APPLICANTS**

Kenichi Kurisu, Osaka, JAPAN;

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\***

JAPAN 397640/2000 12/27/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 9	TOTAL CLAIMS 6	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington , DC 20005-3096

**TITLE**

Etching method for ZnSe polycrystalline substrate

FILING FEE RECEIVED 1020	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---